

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of

Docket No: Q62966

Kazunori UKIGAWA, et al.

Appln. No.: 09/775,591

Group Art Unit: 2166

Confirmation No.: 9264

Examiner: Not Yet Assigned

Filed: February 5, 2001

For: ACCOUNT SETTLEMENT METHOD IN ONLINE SHOPPING

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) (substitute for PTO Form 1449) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations:



INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/775,591

Unexamined Japanese Patent Application Kokai Publication No. H11-296583

discloses an agent server;

Unexamined Japanese Patent Application Kokai Publication No. 11-327717 2.

discloses that another window is opened separately from the contents.

Unexamined Japanese Patent Application Kokai Publication No. 11-296584

discloses that another window is opened separately from the contents.

Unexamined Japanese Patent Application Kokai Publication No. 11-195063

discloses that another window is opened separately from the contents.

Complete English abstracts of foreign language documents are being submitted herewith,

and therefore no concise explanation for such foreign language documents is required.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

Respectfully submitted,

Registration No. 25,426

Alan J. Kasper

SUGHRUE MION, PLLC

2100 Pennsylvania Avenue, N.W.

Washington, D.C. 20037-3213

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

Date: October 4, 2002

2

Substitute for Form 1449 A & B/PTO	Complete if Known			
102	Application Number	09/775,591		
INFORMATION DISCLOSURE	Confirmation Number	9264		
STATEMENT BY APPLICANT	Filing Date	February 05, 2001		
(use as many sheets as necessary)	First Named Inventor	Kazunori UKIGAWA		
	Art Unit	2166		
	Examiner Name	Not Yet Assigned		
Meet 1 of 1	Attorney Docket Number	062966		

U.S. PATENT DOCUMENTS					
Examiner Cite Initials* No.1	Cita	Document		Publication Date	
		Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US			
		US			
		US			REOL
		US			"-UFILE.
Ī		US			00-11/-
Ī		US	<u> </u>		00/02/20
		US			GDO: ZUUZ
		US			AUOUD C
		US			3600

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	
	No.1	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		JP	11-296583		10/29/99		No
		JP	11-327717		11/30/99		No
		JP	11-296584		10/29/99		No
		JP	11-195063		7/21/99		No
		1					
		<u> </u>					
	Ì	1				 -	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶	
			<u> </u>	
	_		ļ	
	1			

Examiner Signature	Date Considered		
			

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.